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PTO/SB/21 (09-04) Approved for use through 07/31/2006.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ler the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/656,495 TRANSMITTAL Filing Date September 5, 2003 First Named Inventor **FORM** Steven Jeffrey Goldberg Art Unit 2617 **Examiner Name** Nguyen, Khai Minh (to be used for all correspondence after initial filing) **Attorney Docket Number** I-2-0397.1US Total Number of Pages in This Submission

| | | ENCLOSURES (Check all that apply | v) |
|--|--------------------|--|---|
| Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks | After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Form PTO-1449, Copy of Form PTO-892, and One Reference Cited. |
| | SIGNA | TURE OF APPLICANT, ATTORNEY, C | OR AGENT |
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| Printed i | John C. Donch, Jr. | | |
| Date | September 27, 2006 | Reg. No. | 43,593 |
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CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Date

September 27, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Steven Jeffrey Goldberg

Application No.: 10/656,495

Confirmation No.: 8356

Filed:

September 5, 2003

For: VERTICAL DYNAMIC BEAM-

FORMING

Group:

2617

Examiner:

Nguyen, Khai Minh

Our File:

I-2-0397.1US

Date:

September 27, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed PTO-1449 form. Newly cited documents are indicated by an asterisk (*). Copies of the cited documents are enclosed, and pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included.

Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counter part foreign application, and, to the knowledge of the person signing the certification, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was

Applicant: Steven Jeffrey Goldberg Application No.: 10/656,495

known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form indicating his consideration of the cited materials.

Respectfully submitted,

Steven Jeffrey Goldberg

By John C. Donch, Jr.

Registration No. 43,593

(215) 568-6400

Volpe and Koenig, P.C. United Plaza, Suite 1600 30 South 17th Street Philadelphia, PA 19103

JCD/akg Enclosures (3)

| U.S. | FORM PTO-1449 | | ATTY. DOCKET NO. 1-2-0397.1US | | SERIAL 1 10/656,4 | | |
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Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.